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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/732,796

12/10/2003

Shalley K. Gupta

58182US004

32692 3M INNOVATIVE PROPERTIES COMPANY PO BOX 33427 ST. PAUL, MN 55133-3427



CONFIRMATION NO. 5660 FORMALITIES LETTER *OC000000012343951*

Date Mailed: 04/13/2004

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE **DISCLOSURES**

Filing Date Granted

Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

• The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d). Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

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PART 2 - COPY TO BE RETURNED WITH RESPONSE

Customer Number

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

SHALLEY K. GUPTA, TARUN K. GHOSH and JASON R. FINK

Serial No.:

10/732,796

Filed:

December 10, 2003

For:

GENE EXPRESSION SYSTEMS

AND RECOMBINANT CELL LINES

Group Art Unit:

1625

Examiner:

RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SÉQUENCE AND/OR AMINO ACID SEOUENCE DISCLOSURES

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

In response to the Notice mailed April 13, 2004, attached is a substitute computer readable disc containing the Sequence listing previously provided with the application upon filing. I hereby state that the substitute copy of the computer readable form, submitted in accordance with 37 CFR 1.825(d), is identical to that originally filed.

In the event that this substitute computer readable disc is also to be found damaged and/or unreadable, the paper or disc copy of the Sequence Listing in this application 10/732,796, is identical to the computer readable copy of the Sequence Listing filed in application 60/432,651, filed December 11, 2002. In accordance with 37 CFR 1.821(e), please use the only computer readable form filed in that application as the computer readable form for the instant application. It is understood that the Patent and Trademark office will make the necessary change in application number and filing date for the instant application. A paper or compact disc copy of the Sequence Listing is included in the originally-filed specification of the instant application.

A copy of the Notice to Comply is also enclosed.

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO COMMISSIONER FOR PATENTS. O. BOX 1450 LEXANDRIA,

No fees are believed due with this response, but in the event a fee is required, authorization is hereby provided to charge the fee required to Deposit Account No. 13-3723.

Having now complied with all of the requirements of 37 CFR 1.53, applicants respectfully request that this application be placed on the files for examination.

Respectfully submitted,

Christopher D. Gram Registration No. 43,643 Attorney for Applicants

CDG:jlh/58182US004 Notice to Comply Office of Intellectual Property Counsel 3M Innovative Properties Company P.O. Box 33427 St. Paul, Minnesota 55133-3427 (651) 733-1507

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Dated: May 13,2004